

# Food allergy: an enigmatic epidemic

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**Food allergy is a common disease that is rapidly increasing in prevalence for reasons that remain unknown. Current research efforts are focused on understanding the immune basis of food allergy, identifying environmental factors that may contribute to its rising prevalence, and developing immunotherapeutic approaches to establish immune tolerance to foods. Technological advances such as peptide microarray and MHC class II tetramers have begun to provide a comprehensive profile of the immune response to foods. The burgeoning field of mucosal immunology has provided intriguing clues to the role of the diet and the microbiota as risk factors in the development of food allergy. The purpose of this review is to highlight significant gaps in our knowledge that need answers to stem the progression of this disorder that is reaching epidemic proportions.**

## Food allergies: a growing clinical problem

Food allergies encompass a broad spectrum of disorders secondary to abnormal immunologic responses to food antigens. IgE-mediated reactions are most common and induce a variety of symptoms that are rapid in onset and may manifest as itchy flushing of the skin and/or urticaria, nausea, abdominal pain and/or vomiting, mild to severe bronchospasm and respiratory distress, hypotension, cardiovascular collapse, and/or death, with cutaneous and abdominal symptoms by far the most common [1]. Although there are a number of non-IgE-mediated food allergic reactions such as eosinophilic esophagitis and food-protein-induced enterocolitis syndrome, we will focus on IgE-mediated reactions in this review.

The exact prevalence of food allergy is difficult to ascertain due to the imprecision of laboratory tests, but recent reviews of the literature estimate that food allergy affects greater than 2% and less than 10% of the US population [2]. The prevalence of food allergy peaks at 6–8% during the first few years of life and is most often due to milk, egg, peanut, fish, and shellfish, although all foods may induce allergic reactions. Most children ‘outgrow’ their allergy to milk, egg, wheat, or soy during their first decade, but allergies to peanut, tree nuts, fish, and shellfish are often retained for life [1]. Limited data suggest that the prevalence of food allergy has increased in industrialized countries worldwide [3–5], for example estimates of peanut and tree nut allergy tripled in American children between 1997 and 2008 [6], but the reason for this increase remains

unknown [7]. Similar increases have been seen in the UK and Australia [4,8]. The ‘standard of care’ for managing food allergies involves proper diagnosis, including a detailed clinical history, laboratory studies (skin prick tests and/or quantification of food-specific IgE, and often oral food challenge), education about strict dietary avoidance, provision of an emergency plan, and medications (e.g., self-injectable epinephrine) for the treatment of accidental ingestions [9].

In recent years, the field of food allergy has gone through considerable growth and technical advances have allowed for a growing understanding of the immune basis of clinical reactivity to food allergens. The field has begun to focus on the role of environmental risk factors, including diet and the microbiota which will be reviewed here. A number of immunotherapeutic approaches are currently under investigation, including different routes of immunotherapy (oral, sublingual, and epicutaneous), immunotherapy with modified recombinant proteins, and use of anti-IgE monoclonal antibodies combined with immunotherapy [10]. By taking advantage of recent advances at the intersection of immunology, nutrition, and microbiome, the field is poised to make significant inroads to the prevention and treatment of food allergy.

## Immune profile of food allergy

### *Humoral responses to food proteins*

By definition, IgE-mediated food allergy is characterized by the presence of IgE specific for antigens within the triggering foods. IgE antibodies are commonly found in healthy controls, but for several foods the level of IgE is predictive of clinical reactivity and probability curves have been established relating the likelihood of tolerating a food based on levels of allergen-specific IgE [11,12]. The lack of clinical reactivity in those with IgE antibodies to foods may relate to the ratio of allergen-specific to total IgE, the ratio of specific IgE to antibodies of blocking isotypes such as IgG4 or IgA, or may relate to the affinity or clonality of IgE antibodies. The ratio of specific to total IgE has been called the specific activity of IgE, and higher specific activity has been shown to be associated with higher levels of basophil activation [13]. The relevance of specific activity of IgE to clinical reactivity and efficacy of anti-IgE therapy for other allergic diseases has been reviewed by Hamilton *et al.* [14]. However, food allergen specific to total IgE ratios have not been found to be more predictive measures of clinical reactivity than food-allergen-specific IgE levels alone [15]. Clinical reactivity may reflect the presence or absence of IgE to relevant components of the food. For example, testing of IgE against components of peanut has shown that IgE against the allergen Ara h 2 is predictive of clinical

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reactivity, whereas IgE against the allergen Ara h 8 (cross-reactive with the birch pollen allergen Bet v 1) in the absence of IgE to other peanut allergens is predictive of clinical tolerance [16,17]. These differences in reactivity to components of peanut would not be detected by measurement of specific IgE against the whole peanut extract. The clonality of the IgE response to foods can be measured using peptide microarrays [18,19]. Clinical reactivity is associated with recognition of a greater number of epitopes and, for peanut, four informative epitopes were found to be effective in prediction of clinical reactivity [19].

It has been shown in mice that low and high affinity IgE are generated through distinct immune pathways and only high affinity IgE can generate anaphylactic responses [20]. Measurement of IgE levels by standard techniques does not reflect affinity and it needs to be determined whether incorporating a measure of affinity [21] would increase the predictive value of IgE measurements. IgG and IgA antibodies to foods are commonly found in food-allergic and healthy subjects [22] but do not relate to clinical reactivity. IgG4 and IgA are thought to be protective by functioning as blocking antibodies, and are increased in response to immunotherapy, for example oral immunotherapy for peanut [23,24], but these antibody levels are generally not predictive of tolerance in the absence of intervention.

Anaphylaxis in mice has been shown to be inducible in the absence of IgE, although generally only at high doses of antigen given systemically. These reactions are mediated by IgG1 and involve activation of macrophages, basophils, or mast cells. Although IgG-mediated anaphylaxis has not been demonstrated in man, biomarkers of IgG-mediated anaphylaxis have been proposed [25] that may help to determine if IgG-mediated anaphylaxis contributes to human food allergy. Other candidates for alternative pathways of effector cell activation include immunoglobulin-free light chains that are elevated in children with cow's milk allergy [26]. Mechanisms of allergen specificity and effector cell activation have not yet been established for this pathway.

#### *T cell responses to food proteins*

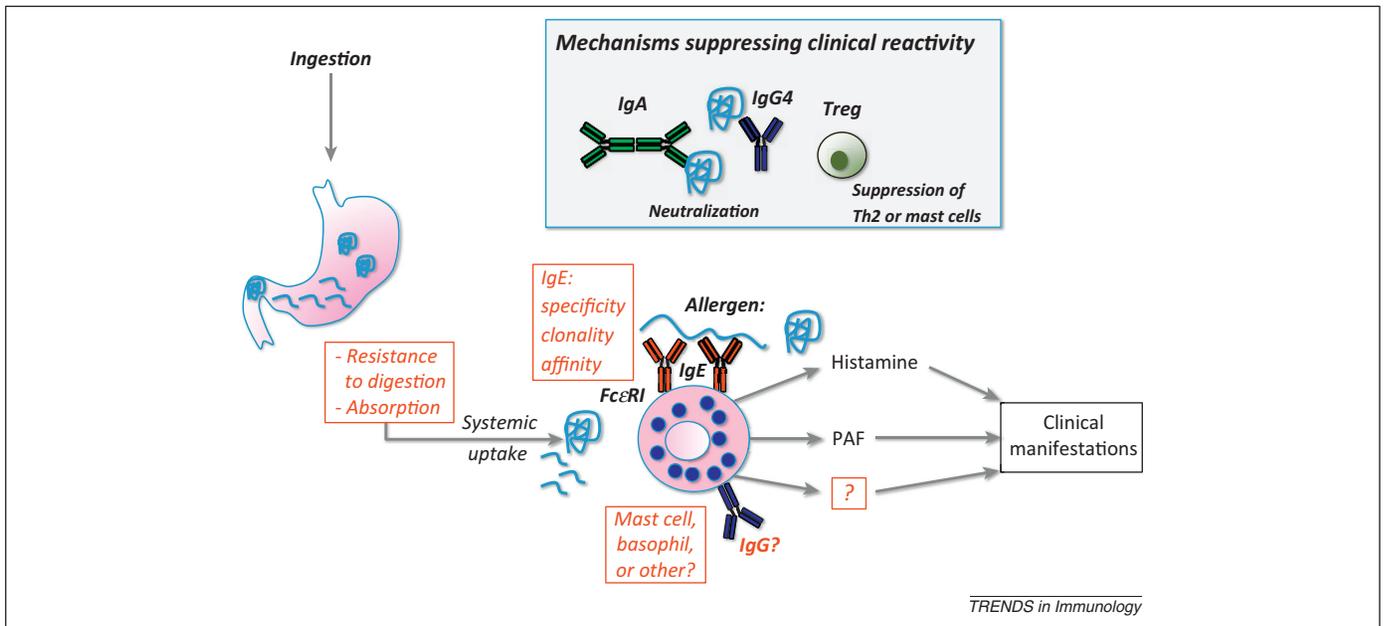
Class switching to IgE is dependent on T cell help, and therefore the T cell response to food antigens in food allergic subjects compared to healthy controls is of particular interest. Early studies used T cell lines grown from peripheral blood of food-allergic subjects and found a predominant Th2 phenotype. 5-(and 6)-Carboxyfluorescein diacetate succinimidyl ester (CFSE)-based detection of cells proliferating in response to culture with antigen confirmed a Th2 profile in allergen-responsive T cells from food-allergic subjects, and a Th1 profile in healthy controls [27]. More recently, CD154-based detection of antigen-specific T cells after short-term stimulation was used to compare the T cell phenotype of patients with IgE-mediated food allergy, non-IgE-mediated food allergy, and healthy controls [28]. Healthy controls had few allergen-specific T cells that expressed low levels of interferon (IFN)- $\gamma$  and tumor necrosis factor (TNF) $\alpha$ . Food-allergic subjects had significantly greater frequencies of allergen-specific T cells. Interleukin (IL)-4 and IL-13 that drive IgE class switching were elevated in IgE-mediated and non-IgE-mediated food allergy,

therefore other factors beyond production of Th2 cytokines must be present to allow IgE sensitization to occur. This may relate to homing properties of the T cells. Human T follicular helper (Tfh) cells provide better help for IgE class switching than non-Tfh cells that express IL-4 [29]. Tfh cells can traffic to B cell follicles through their expression of the chemokine receptor CXCR5, where they are optimally located to provide help for B cell isotype switching. Therefore, this subset of Th cells may be most critical in regulating inappropriate IgE responses to foods, but the contribution of Tfh cells remains to be addressed in the context of IgE-mediated food allergy.

MHC class II tetramers have also been used to identify food-allergen-specific T cells in healthy controls versus allergic subjects without the need for re-stimulation of cells [30]. An epitope from the peanut allergen Ara h 1 was used, and again the frequency of allergen-specific T cells was substantially higher in food-allergic subjects compared with healthy controls, with the number in healthy controls being too low to phenotype reliably. In comparison to the CD4+ population as a whole, these tetramer-positive cells were enriched for the memory marker CD45RA, CD25, and the skin-homing chemokine receptor CCR4, but not the skin-homing receptor cutaneous lymphocyte antigen (CLA). The antigen-specific T cells expressed lower levels of the gut-homing addressin  $\beta$ 7 than the general pool of CD4+ T cells, indicating that they are not likely to have originated from the gut. This may relate to sensitization by routes other than the gastrointestinal tract, as will be discussed in more detail later. In peanut-allergic subjects the range of antigen-specific CD4+ T cells detectable with this method was in the range of ten cells per million CD4+ T cells. This low frequency underscores the difficulty of obtaining sufficient allergen-specific T cells for study, particularly when studying pediatric populations. The even lower frequency of antigen-specific T cells in healthy controls makes them difficult to phenotype, so the question has not yet been answered as to whether an active regulatory phenotype consistent with experimentally induced mucosal immune tolerance is the normal immune response to antigens in the diet. A subset of patients with a deletion in a noncoding region of Foxp3 that results in low Foxp3 protein expression develops a severe allergic phenotype associated with hyper IgE, eosinophilia, villous atrophy, and elevated Th2 cytokines in the intestinal mucosa [31]. Mice lacking Tregs induced in the periphery (but having normal level of thymus-derived natural Tregs) develop a spontaneous Th2-biased inflammation at mucosal sites, and develop antibodies to components of the mouse chow (the isotype of these antibodies was not reported) [32]. These data show that Tregs have a role in the suppression of inappropriate immune responses to food antigens. More data are required to determine if food allergy is really a consequence of an impaired regulatory response to foods, which, if true, would suggest that immune tolerance by oral allergen immunotherapy may be unlikely to develop in the absence of additional therapeutic targeting.

#### *Immune mechanisms of food-induced anaphylaxis*

In a sensitized individual re-exposure to the food allergen by the oral route can lead to clinical manifestations at local



**Figure 1.** Factors contributing to clinical reactivity to foods. The presence of food-specific IgE is not sufficient to predict clinical reactivity to foods, therefore other co-factors are needed. In a sensitized individual allergen ingestion by the oral route can lead to clinical manifestations secondary to activation of systemic allergic effector cells by crosslinking IgE bound to effector cells through the FcεRI receptor. Highlighted in red are open questions about mechanisms contributing to the clinical reactivity to foods. Factors altering the absorption of food allergens from the intestine (e.g., food matrix, food processing such as heating) or preventing the proteolytic digestion of food allergens (e.g., antacid, food matrix, food processing) may determine whether clinical reactivity to foods occurs. The nature of the IgE response (affinity, clonality, linear versus conformational epitopes, and to which antigens it occurs within a food) will determine if activation of effector cells will occur. Questions remain about the relative contribution of mast cells and basophils in human food allergy, and the possible contribution of other effector cells or antibodies that have been shown to play a role in the mouse. In the gray box are mechanisms that are thought to suppress clinical reactivity to foods, and may play a role in the development of clinical tolerance. These include IgA and IgG4, which function as blocking antibodies and prevent IgE-mediated effector cell degranulation or IgE-facilitated antigen presentation, and Tregs that may suppress food allergy by blocking the generation of IgE (through blocking Th2 cells) or directly suppressing allergic effector cells. Abbreviations: PAF, platelet activating factor; Treg, regulatory T cell.

and systemic sites. Figure 1 illustrates mechanisms contributing to the development of clinical reactivity versus tolerance to foods. The skin is the most commonly affected site following a food challenge, followed by symptoms involving the gastrointestinal and respiratory tracts [33]. In mice it has been shown that antigen must be absorbed from the gut into the systemic circulation to trigger reactions [34]. Also in mice, anaphylaxis is almost entirely mast-cell-dependent, with only marginal contributions from basophils [35]. The general absence of elevated serum tryptase in food allergic reactions and studies in peanut-allergic patients on the kinetics of clinical protection using the anti-IgE antibody omalizumab [36] point to a more significant contribution of basophils rather than mast cells in humans. Clinical protection in response to omalizumab treatment was observed at a time point when basophil reactivity was reduced but mast cell activation (measured by skin test reactivity) was not. However, it should be noted that these results are open to interpretation – at the first post-treatment challenge skin tests were reduced but did not reach the level of statistical significance. Basophil activation was reduced, but only when looking at thresholds of activation and not when looking at the degree of activation. Nevertheless, this raises an important issue about the relative role of basophils and mast cells in food-protein-induced anaphylaxis. Accurately identifying the major effector cell of anaphylactic reactions to food proteins is necessary for rational therapeutic design for the prevention of allergic reactions to foods.

Histamine is one major mediator released from basophils and mast cells after IgE-mediated activation, and

antihistamines are often used in the treatment of mild reactions to foods, although epinephrine is the first-line treatment for anaphylaxis. In mice, blockade of histamine and platelet-activating factor (PAF) is necessary to prevent anaphylactic reactions to peanut [37]. Plasma PAF is elevated in patients presenting in the emergency department with acute allergic reactions including those triggered by foods, and is related to reaction severity [38]. It is not yet known if targeting PAF would be of therapeutic benefit in addition to epinephrine and antihistamines for treating acute reactions to foods in humans.

Triggering of allergic effector cells by IgE crosslinking is the end of a long line of immune events that must occur to result in clinical food allergy. At the first exposure to a food allergen there is an immune decision that must be made at the level of the antigen-presenting cell, which will influence the developmental fate of the allergen-specific T cell to become a Th2 cell and the allergen-specific B cell to become an IgE-producing plasma cell. If we understand the factors that tip that immune decision to sensitization rather than tolerance, we may be able to intervene and develop prevention strategies to stem the increase in food allergy.

### Contributing factors to food sensitization

#### Genetics

Although the rapid increase in food allergy prevalence speaks against a genetic etiology, genetic and epigenetic factors have been implicated in the development of food allergy [7]. One twin study found a significantly higher concordance rate of peanut allergy among monozygotic twins (64%) compared with dizygotic twins (7%) [39],



allergic sensitization to foods. Vitamin A has immunomodulatory effects on the mucosal immune system and affects T and B cell homing to the gut as well as the generation of regulatory and pathogenic effector responses in the gut [58,59]. The role of vitamin A in food allergy remains to be determined. Vitamin D, like vitamin A, can modulate mucosal immunity [60] and low vitamin D levels are associated with food-allergic sensitization [61]. Food allergy rates also vary with latitude, which has been hypothesized to be due to sun exposure and synthesis of vitamin D through the skin [62]. Obesity is associated with food sensitization [63], and a high fat diet can profoundly influence innate responses in the gastrointestinal mucosa and the composition of the microbiota [64]. Dietary changes in the USA over the past 30 years have indicated that energy consumption from fats, oils and/or lipids has increased approximately 30% (<http://www.ers.usda.gov/Data/FoodConsumption>). Medium-chain triglycerides but not long-chain triglycerides can promote allergic sensitization to co-administered food antigens in mice [65].

In addition to a potential role of dietary factors in susceptibility to food allergy, supplementation with dietary factors may provide a means for preventing food allergy. There are mixed data supporting and refuting a protective role of long-chain fatty acid supplementation in the development of clinical food allergy [66,67]. Administration of nondigestible carbohydrates reduces allergen-induced skin reactions in mice orally sensitized to milk [68]. The diet is an important source of aryl hydrocarbon receptor (AHR) ligands that support the maintenance of innate lymphoid cells in the gut with regulatory activity [69,70]. Exogenous AHR ligands can suppress autoimmunity and food allergy in mice by modulating the adaptive immune response [71,72]. It remains to be determined whether specific changes in the diet could be protective against the development of allergic disease, but manipulation of the diet could potentially be a feasible and inexpensive approach to wide-scale disease prevention.

### Microbiome

The human body is heavily colonized with a commensal flora that profoundly influences our immune and metabolic status [73]. The hygiene hypothesis is based on the idea that reduced exposure to microbial products (with or without pathogenic potential) alters the immune milieu and predisposes to the development of inappropriate immune responsiveness to innocuous antigens such as food. There are no compelling human data to show an association between antibiotic usage and food allergy but, in mice, treatment with broad-spectrum antibiotics to reduce the gut flora predisposes mice to sensitization to peanut [74]. In addition, a recent study demonstrated that mice with food allergy exhibit a specific gut microbiota signature and these bacteria were capable of transmitting disease susceptibility [75]. In humans there are a few studies using culture-based techniques showing evidence of a dysbiosis in children with food allergy, and a recent study used 16S-based sequencing to show a reduced microbial diversity in children with atopic eczema including food allergy [76]. There is a need to perform profiling of the microbiome, ideally in a large prospective birth cohort study to

determine if there are microbial signatures that are predictive of the development of food allergy. Such a finding could be followed up with mechanistic studies in mice because it has been shown that germ-free mice can be colonized with defined human flora [77,78].

### Characteristics of food allergens

Food proteins that are water soluble and stable to heat and digestive enzymes tend to be responsible for most allergic reactions, although a carbohydrate moiety, galactose- $\alpha$ -1,3-galactose, was recently reported to be responsible for a delayed form of anaphylaxis [79]. In general, proteins with greater than 62% homology to human proteins are unlikely to be allergenic [80]. The majority of animal food allergens can be classified into three protein groups: caseins, EF-hand proteins (primarily parvalbumins), and tropomyosins; and the majority of plant food allergens can be categorized into four families: Bet v 1 related, profilins, and cupin and prolamin superfamilies [81]. Processing may affect the allergenicity of certain foods, for example, high roasting temperatures make peanuts more allergenic [82] whereas high baking temperatures make milk and egg less allergenic, the latter due to the destruction of conformational epitopes [83,84] as well as a change in gastrointestinal uptake of the heated antigens [85,86]. Food processing may alter the structure of the food antigens such that they are recognized and bound by innate pattern receptors on antigen-presenting cells [87], thereby altering the nature of the immune response to the antigen. Binding of relevant food allergens to innate receptors such as dendritic cell-specific intercellular adhesion molecule-3-grabbing non-integrin (DC-SIGN) has been reported [88,89], suggesting that recognition by the innate immune system may contribute to allergenicity of food proteins as has been shown for clinically important aeroallergens such as dust mite [90,91].

### Establishment of immune tolerance

#### *Naturally acquired tolerance in food allergy*

The majority of children with allergy to milk or egg will outgrow their food allergy in childhood, whereas this occurs in the minority of children with allergy to peanut or tree nuts. It is not known whether persistent food allergy is an immunologically distinct phenotype from food allergy that is outgrown. IgE reactivity to sequential rather than conformational epitopes is associated with persistent egg and milk allergy, perhaps suggesting an important role for B cell antigen presentation and T cell help in the maintenance of sensitization to foods. In a study comparing the milk-specific IgE response in milk-sensitized children who had outgrown their milk allergy or were tolerant to extensively heated milk versus children who were reactive to all forms of milk, it was found that children who had outgrown their milk allergy lost their high-affinity IgE and had primarily low-affinity IgE antibodies to milk [21]. In a small study examining milk-specific serum antibody responses in children with persistent compared with resolved milk allergy, milk-specific IgG4 levels increased in those with resolved milk allergy but not in those with persistent milk allergy [92]. There was an overlap in epitope binding between IgE and IgG4, and resolution was associated with a shift from IgE binding to IgG4 binding. However, in other studies the

levels of milk-specific IgG4 are not increased in those who outgrow milk allergy, although IgG4 levels do rise upon introduction of milk into the diet [83].

A rise in IgG4 levels may suggest that outgrowth is an active immune process, whereas a loss of IgE sensitization may be a passive process. Is there any evidence that natural outgrowth of food allergy is an active process mediated by regulatory T cells? Two studies have specifically addressed the role of regulatory T cells in the outgrowth of milk allergy. The first showed that an *in vivo* oral milk challenge increased the number of CD4+CD25+ putative regulatory cells in milk-tolerant but not milk-reactive children [93]. Furthermore, depletion of CD25+ cells before re-stimulation of cells with allergen *in vitro* showed the significant presence of regulatory activity only in the tolerant children after the *in vivo* challenge. The second study investigated patients with IgE sensitization to milk who were reactive to all forms of milk, tolerant to extensively heated milk, or tolerant to all forms of milk. The frequency of CD4+CD25+CD27+ cells proliferating to milk was significantly higher in those who were heated-milk tolerant, and intermediate in those who had outgrown their milk allergy [94]. This suggested that a transient expansion of allergen-specific T cells was associated with the process of outgrowing milk allergy. Although these data are suggestive of a role for regulatory T cells in the natural outgrowth of milk allergy, more comprehensive immunoprofiling needs to be performed on this subset of patients who naturally develop tolerance to foods. This should be ideally performed in a prospective manner to determine whether outgrowth can be predicted. Understanding the mechanisms of natural outgrowth of food allergy may provide an understanding of immune pathways that could be targeted therapeutically in those with persistent food allergy.

#### Immunotherapy-induced desensitization or tolerance

There has been an increased emphasis on developing immunotherapeutic approaches to treat food allergy in the past decade. A number of therapeutic strategies are being investigated for the treatment of food allergy [10]. Standard subcutaneous immunotherapy traditionally used to treat pollen and insect allergies was found to provoke severe adverse reactions with food allergens [95]. Oral immunotherapy (OIT) has been most intensively investigated over the past decade and in small, mostly uncontrolled trials has been shown to induce 'desensitization', a reversible state following short-term exposure to incremental doses of an allergen, in the majority of patients [96]. Desensitization renders effector cells less reactive or nonreactive but, once regular administration of the allergen is discontinued, clinical reactivity returns. Whether OIT will induce 'tolerance' (i.e., the relatively long-lasting effects presumably due to alteration in B cell and T cell reactivity, which persist for prolonged periods even after the treatment is discontinued) remains to be established. OIT in combination with anti-IgE antibodies (e.g., omalizumab) or various adjuvants to activate more effective regulatory responses are being explored. Although OIT shows promise as an effective form of therapy, the high rate of adverse reactions and uncertainty of long-term outcome require further study [96].

#### Concluding remarks

Food allergy has reached near-epidemic proportions in the USA and westernized countries, and now represents the leading cause of anaphylaxis seen in US emergency departments (or about 1 in 800 food-allergic US patients each year) [97] and has resulted in a 3.5-fold increase in hospitalizations for children  $\leq 18$  years of age [3]. The reason for this increase remains a mystery, but environmental factors are clearly at play because it has occurred over a relatively brief period of time and is largely confined to Western and westernized countries. The diagnosis and management of food allergy has improved remarkably over the past two decades, but more specific diagnostic tests and more effective forms of therapy are still needed. With a better understanding of basic immunologic mechanisms involved in the development of oral tolerance to food antigens and in re-establishment of tolerance, and a better understanding in the basic pathways involved in food allergic responses, it is likely that new biomarkers will be discovered that accurately reflect clinical sensitivity and reactivity, and that more effective forms of therapy will be developed.

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