Institutional Information for Use in Grant and Contract Applications

Introduction
The purpose of this document is to provide institutional information that extramural funding agencies may require on grant and contract applications. It is divided into the following sections: I. Sponsored Project Information; II. Compliance Information; III. Financial Information; and IV. GCO Deadlines for Review and Approval of Applications.

Please contact your assigned GCO Grants Specialist for any questions you may have. For a listing of GCO’s Grants Specialists and other staff, please click here:

I. Sponsored Project Information

A. Administrative Information

Applicant Organization
Icahn School of Medicine at Mount Sinai
One Gustave L. Levy Place, Box 1075
New York, New York 10029-6574
Tel: (212) 824-8300 Fax: (212) 241-3294
E-Mail: grants@mssm.edu

CAGE Code: 1QSQ9

DHHS Rate Agreement Date: March 20, 2020

DUNS No.: 078861598

Entity Identification No.: 1-136171197-A1

FICE Code: 007026

Founding Date (School): 1963

Governmental Districts:
13th Congressional District
29th NYS Senatorial District
68th NYS Assembly District

HHS Assurance of Compliance 9/1/2004
Title VI of the Civil Rights Act of 1964, Section 504 of the Rehabilitation Act of 1973, Title IX of the Education Amendments of 1972, and the Age Discrimination Act of 1975 (HHS 690 Form)
NAICS Code ID: 611310

New York City Vendor Code: 0000945511

New York State Vendor ID: 1000027177

New York State Vendor Responsibility Questionnaire (VRQ) Certification Date: 10/21/2020

Participant Identification Code: 985934482

Press Contact: Lucia L. Lee, Senior Director of Media, Mount Sinai Health System
Tel: (646) 605-5940
Email: lucia.lee@mountsinai.org

Tax No.: 136171197

Type of Organization: Educational Institution

*If additional information is required, you may also include private, nonprofit 501(c)(3).*

*All Federal Assurances/Certifications have been filed with the appropriate federal agencies.*

B. Authorized Organization Representative (AOR)

Ms. Jessica R. Moise
Grants and Contracts Officer
Senior Associate Dean for Sponsored Programs
Tel: (212) 824-8300 Fax: (212) 241-3294
E-Mail: grants@mssm.edu

Ms. Amanda Amescua
Director, Grants and Contracts
Tel: (212) 824-8300 Fax: (212) 241-3294
E-Mail: grants@mssm.edu

Ms. Hadijah Vactor
Director, Grants and Contracts
Tel: (212) 824-8300 Fax: (212) 241-3294
E-Mail: grants@mssm.edu

Ms. Latoya Palmer
Associate Director, Grants and Contracts Office
Tel: (212) 824-8300 Fax: (212) 241-3294
E-Mail: grants@mssm.edu
II. Compliance Information

A. Program for the Protection of Human Subjects (PPHS / IRB)

1. Administrative Information

<table>
<thead>
<tr>
<th>Federal-Wide Assurance Number (FWA):</th>
<th>00005656</th>
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<tbody>
<tr>
<td>Effective Date:</td>
<td>January 26, 2018</td>
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<tr>
<td>Expiration Date:</td>
<td>January 26, 2023</td>
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<tr>
<td>PPHS Identification Number:</td>
<td>01</td>
</tr>
</tbody>
</table>

2. Chair

Glenn Martin, MD, DFAPA, Senior Associate Dean for Human Subjects Research, Executive Director Icahn School of Medicine at Mount Sinai
One Gustave L. Levy Place, Box 1081
New York, NY 10029-6574
Tel: (212) 824-8200 Fax: (212) 876-6789
E-mail: glenn.martin@mssm.edu

B. Institutional Animal Care and Use Committee (IACUC)

1. Administrative Information

<table>
<thead>
<tr>
<th>Office of Laboratory Animal Welfare (OLAW) IACUC Animal Welfare Assurance No:</th>
<th>D16-00069</th>
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<tr>
<td>Effective Date:</td>
<td>January 22, 2019</td>
</tr>
<tr>
<td>Expiration Date:</td>
<td>January 31, 2023</td>
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</tbody>
</table>

| AAALAC No: | 002 |
| Accreditation Date: | June 23, 2017 |

2. Chair

Giorgio Martinelli, DSc, PhD
Tel: (212) 241-0153 Fax: (212) 241-5550
E-Mail: iacuc@mssm.edu

C. Research Integrity Officer (RIO)

1. Administrative Information

| Annual Report file date: | April 3, 2020 |

2. Officer

Reginald W. Miller, DVM
Icahn School of Medicine at Mount Sinai
One Gustave L. Levy Place, Box 1031
New York, NY 10029-6574
D. Institutional Biosafety Program

Officer

Philip G. Hauck, MS, MSHS, CBSP, SM (NRM)
Icahn School of Medicine at Mount Sinai
One Gustave L. Levy Place, Box 1155
New York, NY 10029-6574
Tel: (212) 241-5169 / Fax: (212) 241-5550
E-Mail: philip.hauck@mssm.edu

E. Select Agents and Toxins Program

Officer

Randy Albrecht, PhD
Responsible Officer (RO)

III. Financial Information

A. Administrative Information

Cognizant Federal Agency

Mr. Ryan McCarthy
Department of Health and Human Services
26 Federal Plaza, Room 41-122
New York, NY 10278
(212) 264-2069

B. Fiscal Officer

Mr. Stephen Harvey
Senior Vice President for Finance
Chief Financial Officer
Icahn School of Medicine at Mount Sinai
One Gustave L. Levy Place, Box 3500
New York, New York 10029-6574
Tel: (646) 605-4002 Fax: (646) 605-3049

C. Sponsored Projects Finance

Director

Mr. Raj Appavu
Director, Sponsored Projects Finance
Icahn School of Medicine at Mount Sinai
One Gustave L. Levy Place, Box 3500
New York, New York 10029-6574
Tel: (646) 605-4023 Fax: (646) 605-3110
E-Mail: Raj.Appavu@mountsinai.org

The Sponsored Projects Finance Director is the contact person for the following reports and information:

1. Financial reports
2. Payment information
   a. Checks
Checks should be sent to the Sponsored Projects Finance Director. However, you may also request that these checks be sent to a local (i.e., a departmental administrator) rather than the institutional contact person.

b. Electronic/wire transfer

3. Other post award documentation

D. NIH / HHS Salary cap: $197,300 (eff. 1/5/2020)
If you are applying for a Department of Health and Human Services (HHS) sponsored project and your institutional base salary (e.g. $200,000) is greater than the NIH / HHS salary cap, use this salary cap. Do not use your institutional base salary. HHS includes the NIH, AHRQ, CDC, CMS, FDA, HRSA, and SAMHSA, among others.

E. Fringe Benefit Rates (eff. 1/1/19):
1. Federal 28% of Salaries and Wages
2. Non-Federal 29% of Salaries and Wages

Fringe Benefit Rates (eff. 1/1/21):
3. Federal 28% of Salaries and Wages
4. Non-Federal 30% of Salaries and Wages

E. Fringe Benefit Rates (eff. 1/1/19):
1. Federal 28% of Salaries and Wages
2. Non-Federal 29% of Salaries and Wages

F. Facilities and Administrative (F&A) Rates:
1. Federal
   a. Research On-Site 69.5%
      Off-Site 26.0%
   b. Federal Training and Career Development 8.0%
   c. Instruction/Training (Curriculum Development) 41.0%
   d. Other Sponsored Programs 34.0%

2. Industry
   a. Clinical Trials and Related Research 35.0%
The protocol may be industry or investigator initiated. The study is either:
   i. Controlled, clinical testing in human subjects drugs, devices, diagnostics, treatments, interventions, or preventative measures including testing for an unapproved indication or
   ii. Data collection to increase knowledge that would lead to enhanced safety and efficacy of a drug or device, including medical chart reviews.
   b. Other Research 69.5%
      Please see G. “Calculating F&A Expenses” for additional information.

3. Non-Federal (Nonprofit)
   Important: For non-federal (nonprofit) funded projects, please use the rates below when the extramural funding agency does not specify the amount. When a non-federal extramural agency does specify a rate, please use that rate on your budget.
   a. Research and Other Funded Programs 25.0%
   b. Training and Fellowships 8.0%
G. Calculating F&A Expenses
Please click on this ISMMS Finance policy and procedure memo for additional information. Other terms for F&A are indirect costs, overhead, and General and Administrative (G&A) expenses.

1. Federal Funded Projects (F.1) and Industry Funded Other Research (F.2.b)
The following expenses are excluded when calculating F&A costs:
   a. Alterations/Renovations: of $1,000 or more unless allowed by the extramural funding agency
   b. Equipment: Unit cost of equipment is $1,500 or more and a useful life of at least one year.
   c. Patient Care Costs: The costs of routine and ancillary services provided by hospitals to individuals participating in research programs. Click here for NIH’s complete definition.
   d. Subawards/Consortium Arrangements
      All funds above $25,000 per subawarding institution (“institution” is normally defined by having a unique DUNS number or NIH IPF number) are excluded when calculating F&A costs. The $25,000 limit applies once for each subawarding institution within a project period.
   e. Tuition and Fees
   f. Genomic Arrays - NIH policy NIH-OD-10-097

Modified Total Direct Cost (MTDC) base is a term used for the direct cost amount after the above expense categories have been excluded. F&A is then applied to the MTDC.

2. Non-Federal Funded Projects
   F&A costs are applied to all cost categories unless otherwise specified by the extramural funding agency.

IV. GCO Deadlines for Review and Approval of Applications

The GCO must be in receipt of the COMPLETE AND FINAL proposal, including completed and signed Conflict of Interest and Suspension and Debarment forms as appropriate, by these deadlines. Routing the application through the departments for approval occurs before GCO receipt. Please allow adequate time for this process, especially for applications that need to be routed to more than one department.

<table>
<thead>
<tr>
<th>Application Type</th>
<th>Deadline</th>
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</thead>
<tbody>
<tr>
<td>ISMMS Funded Projects*</td>
<td>1 Business Day</td>
</tr>
<tr>
<td>Federal Non-Competitive Applications</td>
<td>2 Business Days prior to extramural funding agency deadline</td>
</tr>
<tr>
<td>Federal Competitive Grant and Contract Applications</td>
<td>5 Business days prior to extramural funding agency deadline by 11 am</td>
</tr>
<tr>
<td>All other funded project applications**</td>
<td>1 Business Day prior to extramural funding agency deadline</td>
</tr>
</tbody>
</table>
* Only a small subset of ISMMS projects must be submitted to the GCO. Click [here](#) for more information.

** Please contact your assigned Grants Specialist in advance regarding any additional time the GCO may need in the review and approval process if you are applying for a sponsored project with complex budgetary and/or administrative requirements (e.g., non-federal application with many subawards, international extramural funding agency application with nonstandard budget forms).