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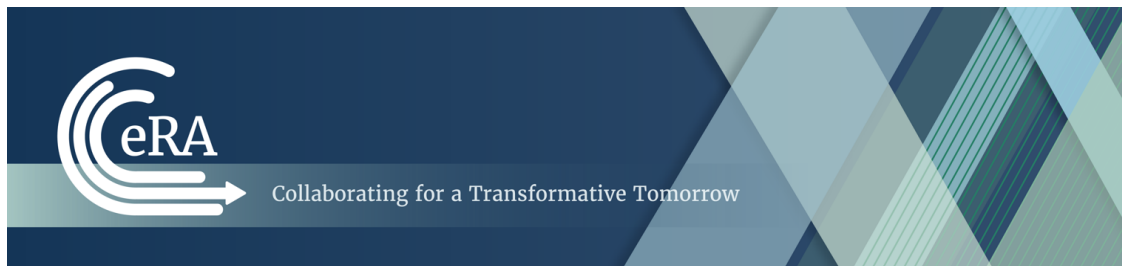
Sent: Thursday, September 26, 2024 11:15 AM

Subject: [GCO] eRA Information:

New Data Management and Sharing (DMS) Policy Questions in RPPR as of October 1, 2024, and Other DMS Changes



Research Alert



Thursday, September 26, 2024

eRA Information: New Data Management and Sharing (DMS) Policy Questions in RPPR as of October 1, 2024, and Other DMS Changes

Effective for Research Performance Progress Reports (RPPR) due on or after October 1, 2024, a set of new questions has been added, asking NIH recipients for details on how they are adhering to their approved Data Management and Sharing (DMS) Plan, if applicable.

Note that RPPR users who have initiated but not submitted their RPPR as of October 1, 2024, may need to redo the revised C.5 section, which will be split into C.5.a (Other Products), C.5.b (Resource Sharing) and the new C.5.c (DMS) section under the C. Products section.

The October 1 eRA release will also include:

- A new DMS section on the *Just-in-Time* screen in eRA Commons for submitting DMS plans (NIH only).
- A new 'DMS Request' option in Prior Approval. Signing officials (SOs) must choose the 'DMS Request' option when submitting a prior approval request for changes to an approved DMS plan, instead of 'Other Request.'

See NIH Guide Notice: [NOT-OD-24-175](#), [NOT-OD-24-176](#) and [NOT-OD-24-123](#). Details are outlined below.

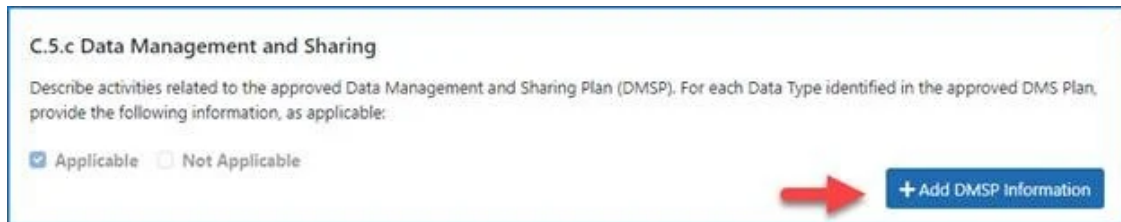
New DMS Questions in RPPR

The new DMS C.5.c section asks recipients, who are subject to the [DMS Policy](#), the following questions:

- Whether data has been generated to date and what type of data it is
- Whether data has been shared for use by others
- If data has been shared, in what repository and under what unique digital identifiers
- If data has NOT been shared, what is the status of data sharing (e.g. being prepared for submission, submitted to repository, not yet expected to be shared); and
- If data has not been generated and/or shared as outlined in an approved DMS plan, what corrective actions have been or will be taken to comply with the approved plan.

The system will automatically determine if the DMS Policy is applicable or not to the award; the questions appear only for applicable awards.

For each Data Type identified in the approved DMS Plan, the recipient should click the **ADD DMSP Information** button.



C.5.c Data Management and Sharing

Describe activities related to the approved Data Management and Sharing Plan (DMSP). For each Data Type identified in the approved DMS Plan, provide the following information, as applicable:

Applicable Not Applicable

[+ Add DMSP Information](#)

Figure 1: The Add DMSP Information button on the DMS screen

The Add Data Management and Sharing Plan Information screen is displayed.

Add Data Management and Sharing Plan Information ✕

Provide details about one scientific, meta or other relevant data source from your submitted DMS Plan.

*** Required Field**

Data Type *

Demographic Database

1980 characters remaining

Has data been generated to date? *

Yes No

Has the data been shared? (i.e. made available for use by others) *

Yes No

Repositories *

Data Hub

1992 characters remaining

**Unique Identifiers/
Digital Object Identifier (DOI) ***

ABC1234

1993 characters remaining

Cancel **+ Add**

Figure 2: The Add DMS Plan Information screen

Enter information regarding shared data.

If the recipient answers 'No' to 'Has the data been shared,' then an alternate screen (below) is displayed, with a text box asking for the status of Data Sharing.

Add Data Management and Sharing Plan Information
✕

Provide details about one scientific, meta or other relevant data source from your submitted DMS Plan.

*** Required Field**

Data Type *

Demographic Database

1990 characters remaining

Has data been generated to date? *

Yes No

Has the data been shared? (i.e. made available for use by others) *

Yes No ←

If data has NOT been shared, what is the status of data sharing? *

ex: Being prepared for submission, submitted to repository, not yet expected to be shared

2000 characters remaining

Cancel
+ Add

Figure 3: Alternative screen if recipients states that data has not been shared

Once this information is entered, it populates a table on the main *Data Management and Sharing* screen. Recipients are also asked here about corrective plans if they have not generated or shared the data plus any plans to make changes to the DMS plan in the coming year.

If the user indicates the DMS Plan has changed by clearing the No Change checkbox, then two fields appear: an **Enter Description of change** field, where they describe changes to the DMS Plan, and an upload area, where they upload their revised DMS plan, which must be named "DMS Plan Revision" (without quotations).

C.5.c Data Management and Sharing

Describe activities related to the approved Data Management and Sharing Plan (DMSP). For each Data Type identified in the approved DMS Plan, provide the following information, as applicable:

Applicable Not Applicable

[+ Add DMSP Information](#)

Filter Table 5 Results

Data Type ^	Has data been generated to date?	Has the data been shared?	Status of Data Sharing	Repository	Unique Identifiers/Digital Object Identifier
array-derived genotype data ...	Y	Y	Shared	Data Hub	ABC1234
Demographic Data ...	Y	N	Data not yet published will share in future	Not Applicable	Not Applicable
Phenotypic and clinical data ...	N	N	Not yet expected to be shared	Not Applicable	Not Applicable

**if data has not been generated and/or shared as outlined in the approved Plan, describe why, and identify any corrective actions that have or will be taken to comply with the approved plan.*

Description

2000 characters remaining

Are significant prospective changes to the Data Management and Sharing Plan being requested for the coming year (e.g., change in repository, change in timeline, or change in scientific direction)?

No Change

If yes, enter description of the change(s) and upload revised Data Management and Sharing Plan for approval.

Enter description of change

2000 characters remaining

Upload revised Data Management and Sharing Plan

Drop files here to upload, or [browse](#).

Max File Count: 1 Accepted File Types: PDF Max File Size: 6MB

DMS_Plan.pdf

[Cancel](#) [Save](#)

Figure 4: The entire C.5.c DMS screen

New DMS Section in Just-in-Time to Submit DMS Plan

A new DMS Plan Revision section has been added to the Just-in-Time screen solely for Signing officials (SOs) to submit a revised DMS Plan to NIH in response to a request for revisions from NIH staff. SOs must no longer use the Other File section of the screen to submit these revised DMS Plans.

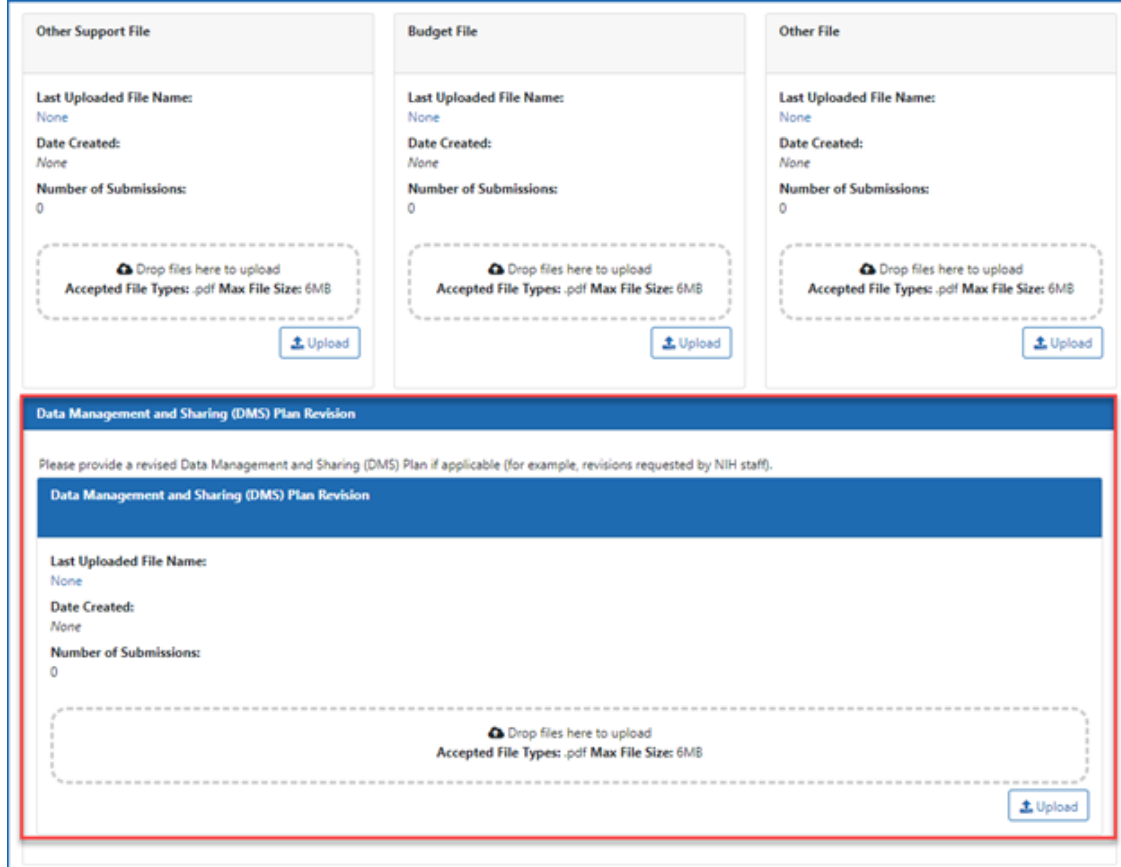


Figure 5: New DMS Plan Revision section on Just-in-Time screen

Use New 'DMS Request' in Prior Approval to Submit DMS Plan

SOs will be required to use the new 'DMS Request' request type when submitting prior approval requests for changes to an approved DMS Plan, effective October 1, 2024. SOs must no longer use the 'Other Request' request type in Prior Approval for this purpose.

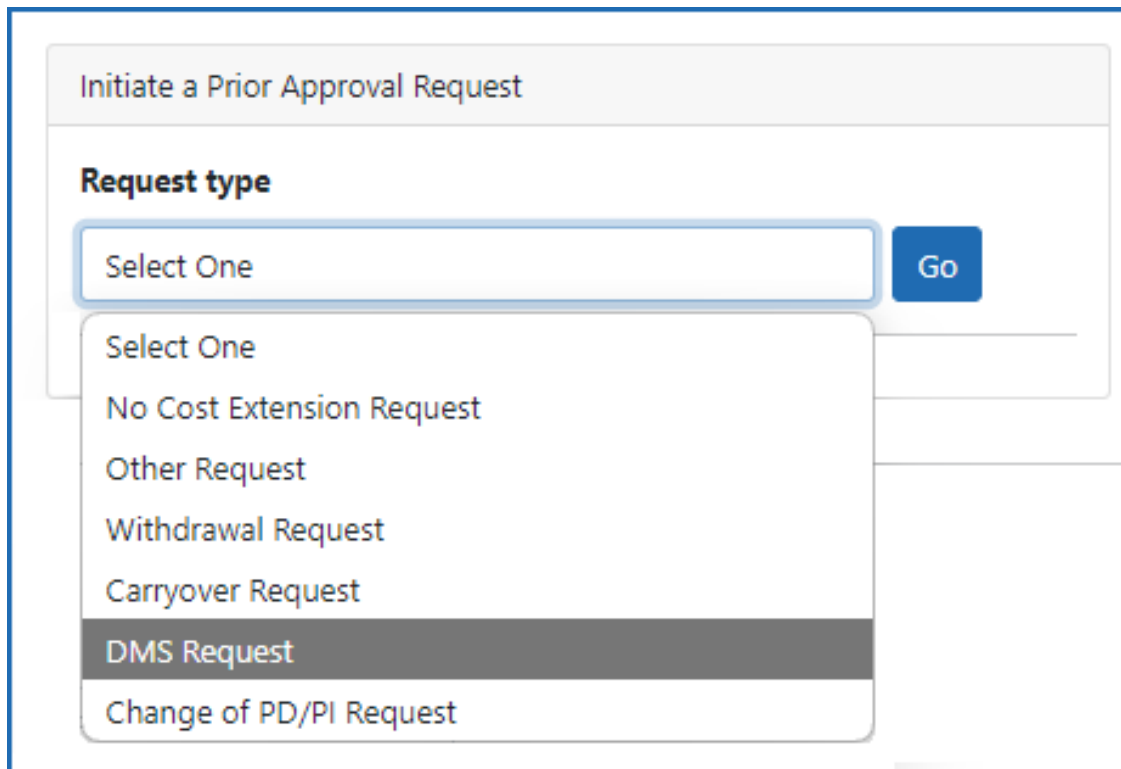


Figure 6: Screenshot of Prior Approval's dropdown menu displaying DMS Request as an option

When the DMS Request is selected and 'Go' is clicked, the *DMS Request - Modify Request* screen is displayed. The screen is very similar to the *Other Request* screen.

SOs should enter the effective date of the requested changes; provide the rationale and justification for the changes; provide a budget document if the budget is affected by the revised plan; and attach the revised DMS Plan.

Prior Approval Request DMS Request - Modify Request

* Required Fields

Application Information

PD/PI Name Potter, Harry	Grants Management Specialist Dumbledore, Albus eRATest@mail.nih.gov 555-555-7315	Program Official Snape, Severus eRATest@mail.nih.gov
PD/PI User ID WIZARD_HARRY		
Grant #: 1U19AI010101-01	Application Title Long Term Effects of Shape-Changing	
Institution UNIVERSITY OF HOGWARTS	Budget Period 08/12/2024 - 07/31/2027	Project Period 08/12/2024 - 07/31/2027

Request Details

Request ID: 53535
Effective Date *
 Enter the effective date of the requested changes.
 mm/dd/yyyy

Justification Document *
 Provide the rationale and justification for the requested changes.
 Drop file or browse to attach up to 1 PDF file(s), not exceeding 6MB per file.

Budget Document
 Provide if revised DMS Plan impacts the budget. Include information for current and future budget periods. Note: this is not a supplement request.
 Drop file or browse to attach up to 1 PDF file(s), not exceeding 6MB per file.

Proposed DMS Plan Document *
 Attach the revised DMS Plan.
 Drop file or browse to attach up to 1 PDF file(s), not exceeding 6MB per file.

> Request History

Cancel Delete Save Submit

Figure 7: The DMS Request – Modify Request screen to submit the prior approval request for a revised plan

Resources: See the updated [RPPR Instruction Guide](#) and the [eRA Commons online help](#), following the October 1 release.



eRA NIH Office of Extramural Research

Questions? [See Need Help](#). [Submit a web ticket](#); or call Toll-free: [1-866-504-9552](#), Phone: [301-402-7469](#). The Service Desk hours are Mon-Fri, 7 a.m. to 8 p.m. ET.

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